



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/816,756	03/24/2001	Bruce J. Mayer	883933.0062 (UCON-154)

CONFIRMATION NO. 4784

21832  
CUMMINGS AND LOCKWOOD  
GRANITE SQUARE  
700 STATE STREET  
P O BOX 1960  
NEW HAVEN, CT 06509-1960

## FORMALITIES LETTER



\*OC000000006105926\*

09/17/2001 HPRASRD 00000012 110231 09816756  
01 FC:204 135.00 CH

Date Mailed: 05/23/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

06/20/2001 EEKUBAY1 00000075 110231 09816756

FILED UNDER 37 CFR 1.53(b)

01 FC:201 355.00 OP  
02 FC:203 35.00 CH 73.00 OP  
03 FC:205 65.00 CH

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$243.
  - \$108 for 12 total claims over 20.
  - \$135 for multiple dependent claim surcharge.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 663.**
- This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c) Applicant must provide an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written

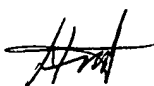
(on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

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*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



CERTIFICATE OF MAILING

I hereby certify that the enclosed correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: BOX MISSING PARTS, Commissioner for Patents, Washington, D.C. 20231 on the date indicated below.

Date: 6/13, 2001

*Jean McCue*  
Jean McCue

883933.0062 (UCON-154)

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: )  
Bruce J. Mayer )  
Serial No.: 09/816,756 )  
Filed: March 24, 2001 )  
For: **COILED-COIL MEDIATED** )  
**HETERODIMERIZATION** )  
**INTERACTION TRAP** )

BOX MISSING PARTS  
Commissioner for Patents  
Washington, D.C. 20231

Att: Customer Service Center  
Initial Patent Examination Division

**SUBMISSION OF MISSING PARTS OF APPLICATION**

Sir:

Applicant is submitting herewith in connection with the above-identified patent application an executed Declaration to comply with the Notice to File Missing Parts of Application, which was mailed on May 23, 2001 (copy enclosed).

Please note that the Notice of Missing Parts stated that the total additional fees due with the Response to the Notice is \$663, which total includes a \$135 surcharge for a multiple dependent claim. Applicant's undersigned representative has reviewed the claims of the instant application, as filed, and is unable to determine the presence of a multiply-dependent claim such as to necessitate the surcharge. However, claim 5, directed to a gene, does reference fusion proteins encoded by the claimed gene as set forth in claims 1, 2, 3 or 4. Applicant respectfully submits that claim 5 is not a multiply-dependent claim. Claims 1 through 4 are directed to fusion proteins and, as such, are directed to different subject matter from that claimed in claim 5, a

gene. Claim 5 is, therefore, not dependent from any of claims 1 - 4 and, by statute and regulation, could not properly be so dependent due to the different subject matter to which the claim is directed.

Applicant respectfully submits that claim 5 is not multiply-dependent and does not, therefore, warrant the imposition of the \$135 surcharge. Consequently, this Response includes a check in the amount of \$428 to cover the remaining fees as set forth in the Notice. In the event that this amount is insufficient, or should constitute an overpayment of fees, the Commissioner is hereby authorized to charge or credit, as appropriate, Deposit Account No. 11-0231. The sequence listing has been corrected and also enclosed, in a hard copy form and on diskette, together with an Associate Power of Attorney for this application.

Respectfully submitted,

Date: 6/13, 2001



Daniel F. Coughlin, Reg. No. 36,111  
Attorney of Record  
CUMMINGS & LOCKWOOD  
700 State Street - P. O. Box 1960  
New Haven, CT 06509-1960  
(203) 351-4622